

# CITY OF BURLINGTON REQUEST FOR PROPOSALS

Issued: May 15, 2023 Questions Due By: May 22, 2023 Answers Posted By: May 25, 2023 Proposals Due: June 7, 2023

## I. PROJECT BACKGROUND

The Church Street Marketplace (CSM) is seeking proposals for snow plowing and removal services on the Church Street Marketplace District (Church Street between Main & Pearl Streets). The Church Street Marketplace intends to enter a three-year contract for services beginning in October 2023, and expiring after April 2026.

## II. SCOPE OF WORK

#### FOR THE CONTRACT PERIOD, CONTRACTOR SHALL:

- Provide plowing and removal of snow each season (October April), for three fiscal years, from FY 24 – FY 26;
  - Area to be plowed:
    - Church Street Marketplace District, the four blocks of Church Street, in Burlington, Vermont, between Main and Pearl Streets, excluding the nine foot right of way which Church Street Marketplace staff will maintain; and as far into the side streets – Pearl, Cherry, Bank, College and Main – as the Marketplace pavers exist;
    - Parking lot behind Homeport (52 Church Street) from the entrance at Cherry Street to the retaining wall at the furthest edge 50 Church Street (currently Banana Republic).
- Charge a fixed price for each season;
- Develop a work plan designated each year by coordinating and attending a walkabout with Marketplace's maintenance supervisor or their designee;
- **Place rubber tips on plow blades**, so as not to damage CSM bricks, manhole covers, granite blocks, and tree cobblestones;
- Be responsible for the provision of backup plowing and removal of equipment in the event of breakdowns or other unforeseen circumstances;
- Be responsible for monitoring the snow accumulation on the CSM and plow only when the CSM has received 1" of snow or greater;

- Make arrangements to remove all snow, as specified and directed above, within 36 hours
  after the completion of the plowing, unless otherwise directed to remove or not to remove
  the snow;
- Remove snow to within not more than six feet of all obstructions except trees. The radius around trees shall not be less than three feet. The removal of snow, if affected, from those areas, shall be accomplished by CSM staff;
- Remove snow piles from the CSM at any time of day or evening, unless otherwise requested.
- Agree to adhere to safety practices in regard to pedestrians when removing snow during normal hours of business for CSM shops and restaurants;
- Provide supervision at all times when the contractor is present on the CSM to ensure efficient performance of work;
- Meet for a physical walk through of the CSM with the Marketplace's maintenance supervisor or their designee to determine damage and quality assessment of work performed after each removal;
- Coordinate all work with the Marketplace's maintenance supervisor or their designee;

#### FOR THE CONTRACT PERIOD, MARKETPLACE AGREES TO:

- Be responsible for ALL salting on the CSM;
- Clear snow away from the nine-foot right of way/sidewalk in front of all Church Street buildings;
- With its own equipment (John Deere tractors), push the bulk of snow into large piles into the center of Church Street when there is an accumulation of 1" or more of snow for the Contractor to remove;
- Provide contractor with access to the City's "snow dump," located near Burlington's
  Waterfront and managed by the Department of Public Works. Marketplace requests that
  the Snow Dump be used during daylight hours to reduce noise from truck tailgates
  disturbing those residents living on Burlington's Waterfront.

## III. RESPONSE FORMAT

Interested Contractors should submit their Bid and Work Plan, Exhibit B, as well as their executed City of Burlington Ordinance Com in conformity with the procedures outlined in Section VI of this RFP.

# IV. CONTRACTOR SELECTION

No proposal will be considered accepted until all necessary City authorizations, including those required by the Board of Finance and City Council, if necessary, have been received and an agreement is executed by both parties.

Marketplace staff will review the proposals and determine which if any, contractor will be awarded the contract.

The Church Street Marketplace reserves the right to obtain clarification of any point in a vendor's proposal or to obtain additional information necessary to properly evaluate a proposal. Failure by

a vendor to respond to such a request for additional information or clarification may result in rejection of the vendor's proposal. Vendors who submit qualifying proposals may be asked for an interview.

# V. <u>SUBMISSIONS</u>

Questions can be submitted through email to Director Kara Alnasrawi at the e-mail below by May 22, 2023. Responses will be posted on the Church Street Marketplace website, www.churchstmarketplace.com, by May 25, 2023.

To be considered, please submit an electronic copy by 6:00 p.m. EST on June 7, 2023 to:

Kara Alnasrawi
Executive Director
Church Street Marketplace
kalnasrawi@burlingtonvt.gov

Late submissions will not be accepted for consideration.

## VI. EXHIBITS

- A. Exhibit A: Bid and Work Plan
- B. Exhibit B: City of Burlington Draft Agreement
- C. Exhibit C: Burlington Standard Contract Conditions
- D. Exhibit D: Burlington Livable Wage Ordinance Certification
- E. Exhibit E: Burlington Outsourcing Ordinance Certification
- F. Exhibit F: Burlington Union Deterrence Ordinance Certification

Bid documents include this main body of the request for proposals and all exhibits.

#### VII. CONTRACTING

The Contractor, prior to being awarded a contract, shall apply for registration with the Vermont Secretary of State's Office to do business in the State of Vermont, if not already so registered. The registration form may be obtained from the Vermont Secretary of State, 128 State Street, Montpelier, VT 05633-1101, PH: 802-828-2363, Toll-free: 800-439-8683; Vermont Relay Service –711; web site: <a href="https://www.sec.state.vt.us/">https://www.sec.state.vt.us/</a>. The contract will not be executed until the Consultant is registered with the Secretary of State's Office. The successful Consultant will be expected to execute sub-agreements for each sub-consultant named in the proposal upon award of this contract.

Prior to beginning any work, the Consultant shall obtain Insurance Coverage naming the City as additional insured, in accordance with the Burlington Standard Contractor Conditions (attachment D in this RFP). The certificate of insurance (COI) coverage shall be documented on forms acceptable to the City.

#### VIII. AGREEMENT REQUIREMENTS

The selected contractor will be required to execute a contract with the City on the terms and conditions required by the City, including, but not limited to those in the Burlington Contractor Conditions (Attachment C) and the attached Draft Agreement (Attachment B).

# IX. LIMITATIONS OF LIABILITY

The City assumes no responsibility or liability for costs incurred by parties responding to this Request for Proposals.

## X. COSTS ASSOCIATED WITH PROPOSAL

Any costs incurred by any person or entity in preparing, submitting, or presenting a proposal are the sole responsibility of that person or entity. The City will not reimburse any person or entity for any costs incurred prior to the issuance of a contract.

# XI. <u>INDEMNIFICATION</u>

Any party responding to this Request for Proposals is acting in an independent capacity and not as an officer or employee of the City. Any party responding to this Request for Proposals will be required to indemnify, defend, and hold harmless the City, its officers, and employees from all liability and any claims, suits, expenses, losses, judgments, and damages arising as a result of the responding party's acts and/or omissions in or related to the response.

## XII. <u>REJECTION OF PROPOSALS</u>

The City reserves the right to reject any or all proposals, to negotiate with one or more parties, or to award the contract to the proposal the City deems will meet its best interests, even if that proposal is not the lowest bid. The City reserves the right to re-advertise for additional proposals and to extend the deadline for submission of the proposals. This Request for Proposals in no way obligates the City to award a contract.

## XIII. OWNERSHIP OF DOCUMENTS

Any materials submitted to the City in response to this Request for Proposals shall become the property of the City unless another arrangement is made by written agreement between the City and the responding party. The responding party may retain copies of the original documents.

#### XIV. PUBLIC RECORDS

Any and all records submitted to the City, whether electronic, paper, or otherwise recorded, are subject to the Vermont Public Records Act. The determination of how those records must be handled is solely within the purview of City. All records the responding party considers to be trade secrets, as that term is defined by subsection 317(c)(9) of the Vermont Public Records Act,

or that the responding party otherwise seeks to have the City consider as exempt must be identified clearly and specifically at the time of submission. It is not sufficient to merely state generally that a proposal is proprietary, contains a trade secret, or is otherwise exempt. Particular records, pages, and sections which are believed to be exempt must be specifically identified as such and must be separated from other records with a convincing explanation and rationale sufficient to justify each exemption from release consistent with Section 317 of Title 1 of the Vermont Statutes Annotated.

## XV. DUTY TO INFORM CITY OF BID DOCUMENT ERRORS

If a bidder knows, suspects, or has reasonable cause to believe, that an error or omission exists in any bid documents, including but not limited to unit prices and rate calculations, the bidder shall immediately give the City written notice thereof. Contractor shall not cause or permit any work to be conducted that may be related to the error or omission without first receiving written acknowledgment from the City that City representatives understand the possible error or omission and have approved the requested modifications to the bid or contract documents, or that the contractor may proceed without any modification being made to the bid or contract documents.

# XVI. PUBLIC HEALTH EMERGENCIES

Bidders are advised that public health emergencies, as declared by the City, the State of Vermont, or the Federal Government, including the current pandemic of Novel Coronavirus (COVID–19), may introduce significant uncertainty into the project, including disruption of timelines or revised practices. Contractors shall consider public health emergencies as they develop project schedules and advance the work.

While the Contractor is responsible for ensuring that the project or site is stable and in a safe and maintainable condition, the City will have the right to inspect all preparatory, in-progress, and final work to ensure compliance with health and safety standards and may at any time require the Contractor to stop work until it becomes compliant.

If a public health emergency is declared, the City will not be responsible for any delays related to the sequence of operations or any expenses or losses incurred as a result of any delays. Any delays related to public emergencies, including the current pandemic of Novel Coronavirus (COVID-19), will be excusable, but will not be compensable.



# **EXHIBIT A BID AND WORK PLAN**

# REQUEST FOR PROPOSALS - SNOWPLOWING AND REMOVAL FOR THE CHURCH STREET MARKETPLACE DISTRICT, **October 2023 – April 2026**

Please complete the information requested below and send to Church Street Marketplace electronically as directed in the Request for Proposals by June 7th 2023. Late submissions will not be accepted.

Bid (Proposal and Work Plan) of \_

the work performed under the contract.

Bid (Proposal and Work Plan) of
(Name of Your Company)
The Bidder, in compliance with the Marketplace's request for snowplowing and removal bids
and work plans, having examined relevant documents, and being familiar with all the condition
surrounding the project, hereby proposes to furnish snowplowing and removal equipment and
labor for the Marketplace during the winters of 2023 - 2026 in accordance with the contract
documents and the price stated below. This price is to cover all expenses incurred in performing

A.	BID PRICE.		
	Year 1: 2023-2024:	\$	
	Year 2: 2024-2025:	\$	
	Year 3: 2025-2026:	\$	
B.	EQUIPMENT		
		quipment owned by the Bide Marketplace and the price	der, showing each piece of e for each by hour (including
	1. Equipment with oper	ator:	
	Type:		
	Size:		

		Number:
	2.	Equipment with neoprene blade and operator:
Ту	pe:	
		Number:
	3.	Dump trucks with operators:
		Size(s):
		Number:
	4.	Other equipment owned by the Bidder (with sizes, numbers), which the bidder believes would be helpful for performing the work.
C.	W	ORK PLAN
	COI	dder will perform% of the work with its own forces. If less than 100%, the sub-ntractors are listed below (showing detail as to the work each sub-contractor will rform):
		pervisory Work Experience. The name of the project manager /working supervisor to assigned to the project are listed below.
	Na	ume of Project Manager/Working Supervisor:
	Ye	ears of experience supervising snow removal contracts:
D.	ОТ	ΓHER
	1.	Has the Bidder ever been terminated during mid-contract on any snow removal project? If so, when, where, and why?
	2.	Does the Bidder offer early payment discount on its invoices? If so, how much and on what terms?
	3.	References (three or more):
		i
		ii.

	iii	
4.	Bidder's complete name, contact name, address, phone number a	and e-mail:
	Business Name:	
	Contact Name:	
	Business Address:	_
	City/State:	
	Phone:	
	Email:	_

#### EXHIBIT B

# CITY OF BURLINGTON DRAFT CONSTRUCTION CONTRACT

This Agreement ("Agreement") is entered into by and between the City of Burlington, V	√ermont
by and through the Church Street Marketplace ("the City"), and	
("Contractor"), a Vermont corporation located at	

Contractor and the City agree to the terms and conditions of this Agreement.

#### 1. **DEFINITIONS**

The following terms shall be construed and interpreted as follows:

- A. "Agreement Documents" means all the documents identified in §4 of this Agreement.
- **B.** "Effective Date" means the date on which this Agreement is approved and signed by the City, as shown on the signature page.
- C. "Party" means the City or Contractor and "Parties" means the City and Contractor.
- **D.** "Work" means the services described in §5 of this Agreement, along with the specifications contained in the Agreement Documents as defined in §4 below.
- **E. "Public Health Emergency"** means public health emergencies, as declared by the City, the State of Vermont, or the Federal Government.

# 2. RECITALS

- **A. Authority.** Each Party represents and warrants to the other that the execution and delivery of this Agreement and the performance of such Party's obligations have been duly authorized.
- **B.** Consideration. The Parties acknowledge that the mutual promises and covenants contained herein and other good and valuable consideration are sufficient and adequate to support this Agreement.
- **C. Purpose.** The City seeks to employ the Contractor to provide holiday, summer, and winter lighting services within the Church Street Marketplace District.

## 3. EFFECTIVE DATE, TERM, AND TERMINATION

**A.** Effective Date. This Agreement shall not be valid or enforceable until the Effective Date. The City shall not be bound by any provision of this Agreement before the Effective Date and shall have no obligation to pay Contractor for any

performance or expense incurred before the Effective Date or after the expiration or termination of this Agreement.

**B.** Term. This Agreement and the Parties' respective performance shall commence on the Effective Date and expire on \_\_\_\_\_\_\_, 2023 or upon the satisfaction of the City, unless sooner terminated as provided herein.

#### 4. AGREEMENT DOCUMENTS

The Agreement Documents are hereby adopted, incorporated by reference, and made part of this Agreement. The intention of the Agreement Documents is to establish the necessary terms, conditions, labor, materials, equipment, and other items necessary for the proper execution and completion of the Work to ensure the intended results.

# The following documents constitute the Agreement Documents:

Attachment A: Request for Proposals dated May 2023

Attachment B: Contractor's Response to Request for Proposals dated 2023

**Attachment C: Burlington Standard Conditions** 

**Attachment D: Burlington Livable Wage Ordinance Certification** 

**Attachment E: Burlington Outsourcing Ordinance Certification** 

**Attachment F: Burlington Union Deterrence Ordinance Certification** 

Attachment G: Contractor's Certificate of Insurance

#### 5. SCOPE OF WORK

The Contractor shall perform the services listed in Attachments A (Request for Proposals) and B (Contractor's Bid and Work Plan).

#### 6. PAYMENT FOR SERVICES

Α.	<b>Amount.</b> The City shall pay the Contractor for completion of the Work in accordance
	with Attachment B (Contractor's Response to Request for Proposals) [or as
	follows:]

Contractor agrees to accept this payment as full compensation for performance of all services and expenses incurred under this Agreement.

- **B.** Payment Schedule. The City shall pay the Contractor in the manner and at such times as set forth in the Agreement Documents [or as follows:\_\_\_\_\_]. The City seeks to make payment within thirty days of receipt of an invoice and any backup documentation requested under §6D below.
- C. Maximum Limiting Amount. The total amount that may be paid to the Contractor for all services and expenses under this Agreement shall not exceed the maximum limiting

amount of \$\_\_\_\_\_. The City shall not be liable to Contractor for any amount exceeding the maximum limiting amount without duly authorized written approval.

**D. Invoice.** Contractor shall submit one copy of each invoice, including rates and a detailed breakdown by task for each individual providing services, and backup documentation for any equipment or other expenses to the following:

Kara Alnasrawi
Executive Director
Church Street Marketplace
131 Church St. Suite 209
Burlington, VT 05401
(802) 238-1910
kalnasrawi@burlingtonvt.gov

The City reserves the right to request supplemental information prior to payment. Contractor shall not be entitled to payment under this Agreement without providing sufficient backup documentation satisfactory to the City.

**E. Non-Appropriation.** The obligations of the City under this Agreement are subject to annual appropriation by the Burlington City Council. If no funds or insufficient funds are appropriated or budgeted to support continuation of payments due under this Agreement, the Agreement shall terminate automatically on the first day of the fiscal year for which funds have not been appropriated. The Parties understand and agree that the obligations of the City to make payments under this Agreement shall constitute a current expense of the City and shall not be construed to be a debt or a pledge of the credit of the City. Agreement. The decision whether or not to budget and appropriate funds during each fiscal year of the City is within the discretion of the Mayor and City Council of the City.

The City shall deliver written notice to Contractor as soon as practicable of any non-appropriation, and Agreement Contractor shall not be entitled to any payment or compensation of any kind for work performed after the City has delivered written notice of non-appropriation.

#### 7. COMPLIANCE WITH LAWS

The Parties, and any subcontractors approved under this Agreement, shall comply with all applicable laws, statutes, ordinances, rules, regulations, and/or requirements of federal, state, and local governments and agencies thereof.

#### 8. BINDING EFFECT AND CONTINUITY

This Agreement shall be binding upon and shall inure to the benefit of the Parties, their' respective heirs, successors, representatives, and assigns. If a dispute arises between the Parties, each Party will continue to perform its obligations under this Agreement during

the resolution of the dispute, until the Agreement is terminated in accordance with its terms.

#### 9. SEVERABILITY

The invalidity or unenforceability of any provision of this Agreement or the Agreement Documents shall not affect the validity or enforceability of any other provision, which shall remain in full force and effect, provided that the Parties can continue to perform their obligations under this Agreement in accordance with the intent of this Agreement.

#### 10. ENTIRE AGREEMENT

This Agreement, including the Agreement Documents, constitutes the entire agreement and understanding of the Parties with respect to the subject matter of this Agreement. Prior or contemporaneous additions, deletions, or other changes to this Agreement shall not have any force or effect whatsoever, unless embodied herein or pursuant to Attachment C, Section 18.

#### 11. NO THIRD PARTY BENEFICIARIES

This Agreement does not and is not intended to confer any rights or remedies upon any person or entity other than the Parties. Enforcement of this Agreement and all rights and obligations hereunder are reserved solely to the Parties. Any services or benefits which third parties receive as a result of this Agreement are incidental to this Agreement, and do not create any rights for such third parties.

## 12. ASSIGNMENT

Contractor shall not sublet or assign this Work, or any part of it, without the written consent of the City. If any subcontractor is approved, Contractor shall be responsible and liable for all acts or omissions of that subcontractor for any Work performed. If any subcontractor is approved, Contractor shall be responsible to ensure that the subcontractor is paid as agreed and that no lien is placed on any City property.

#### 13. WAIVER

A Party's failure or delay in exercising any right, power, or privilege under this Agreement, whether explicit or by lack of enforcement, shall not operate as a waiver, nor shall any single or partial exercise of any right, power, or privilege preclude any other or further exercise of such right, power, or privilege.

## 14. FORCE MAJEURE

Neither Party to this Agreement shall be liable to the other for any failure or delay of performance of any obligation under this Agreement to the extent the failure or delay is

caused by acts of God, public health emergencies, epidemics, acts of the public enemy, acts of superior governmental authority, weather conditions, riots, rebellion, sabotage, or any other circumstances for which it is not responsible or which is not under its control ("Force Majeure"). To assert Force Majeure, the nonperforming party must prove that a) it made all reasonable efforts to remove, eliminate, or minimize the cause of delay or damage, b) diligently pursued performance of its obligations, c) substantially fulfilled all obligations that could be fulfilled, and d) timely notified the other part of the likelihood or actual occurrence of a Force Majeure event.

#### 15. PUBLIC HEALTH EMERGENCY

- A. Contractor is advised that public health emergencies, as declared by the City, the State of Vermont, or the Federal Government, including the current pandemic of Novel Coronavirus (COVID–19), may introduce significant uncertainty into the project. Contractor must comply with all local, state, federal orders, directives, regulations, guidance, advisories during a public health emergency. Contractor shall adhere to the below provisions and consider public health emergencies as they develop project schedules and advance the work.
- B. <u>Enforcement & Stoppage of Work</u>. If Contractor fails to comply with any local, state, federal orders, directives, regulations, guidance, or advisories during a public health emergency, the City may stop Work under the Contract until such failure is corrected. Such failure to comply shall constitute breach of the Agreement pursuant to Section 21 (City's Option to Terminate). The City shall have sole discretion in determining if Contractor is compliant with the above.

Upon stoppage of work, the City may allow Work to resume, at a time determined by the City, under this Agreement if such failure to comply is adequately corrected. The City shall have sole discretion in determining if Contractor has adequately corrected its failure to comply with the above. Upon any resumption of Work, the Parties shall negotiate in good faith an equitable adjustment to reflect the reasonable impacts on Contractor resulting from such Work stoppage.

If Contractor's breach of Agreement has not been cured within fourteen (14) days after commencement of such Work stoppage, then City shall be entitled to terminate this Contract pursuant to Section 22.2 (City's Option to Terminate, Termination for Cause).

C. <u>City Liability Relating to Potential Delays</u>. If a public health emergency is declared, the City will not be responsible for any delays related to the sequence of operations or any expenses or losses incurred as a result of any delays. Any delays related to a public health emergency will be excusable, but will not be compensable.

#### 16. CHOICE OF LAW

Vermont law, and rules and regulations issued pursuant thereto, shall be applied in the interpretation, execution, and enforcement of this Agreement. Any provision included or incorporated herein by reference which conflicts with said laws, rules, and regulations shall be null and void. Any provision rendered null and void by operation of this provision shall not invalidate the remainder of this Agreement to the extent capable of execution.

#### 17. JURISDICTION

All suits or actions related to this Agreement shall be filed and proceedings held in the State of Vermont.

#### 18. ARM'S LENGTH

This Agreement has been negotiated at arm's length, and any ambiguity in any of its terms or provisions shall be interpreted in accordance with the intent of the Parties and not against or in favor of either the City or Contractor.

#### 19. SECTION HEADINGS

The section heading of this Agreement, including its Attachments, are for convenience of reference only and do not modify or restrict the terms of the Agreement.

— Signatures follow on the next page —

# **20. SIGNATURE**

Persons signing for the Parties hereby swear and affirm that they are authorized to act on behalf
of their respective Party and acknowledge that the other Party is relying on their representations
to that effect.

	Contractor Business Name		
By:	Name Title	_	
Date:		_	

City of Burlington (Church Street Marketplace)
By: Kara Alnasrawi Executive Director, Church Street Marketplace
Date:

## **EXHIBIT C**

# ARTICLE VI. LIVABLE WAGES<sup>1</sup>

#### 21-80 Findings and purpose.

In enacting this article, the city council states the following findings and purposes:

- (a) Income from full-time work should be sufficient to meet an individual's basic needs;
- (b) The City of Burlington is committed to ensuring that its employees have an opportunity for a decent quality of life and are compensated such that they are not dependent on public assistance to meet their basic needs;
- (c) The City of Burlington is committed, through its contracts with vendors and provision of financial assistance, to encourage the private sector to pay its employees a livable wage and contribute to employee health care benefits;
- (d) The creation of jobs that pay livable wages promotes the prosperity and general welfare of the City of Burlington and its residents, increases consumer spending with local businesses, improves the economic welfare and security of affected employees and reduces expenditures for public assistance;
- (e) It is the intention of the city council in passing this article to provide a minimum level of compensation for employees of the City of Burlington and employees of entities that enter into service contracts or receive financial assistance from the City of Burlington.

(Ord. of 11-19-01; Ord. of 10-21-13)

#### 21-81 Definitions.

As used in this article, the following terms shall be defined as follows:

- (a) Contractor or vendor is a person or entity that has a service contract with the City of Burlington where the total amount of the service contract or service contracts exceeds fifteen thousand dollars (\$15,000.00) for any twelve (12) month period, including any subcontractors of such contractor or vendor.
- (b) Grantee is a person or entity that is the recipient of financial assistance from the City of Burlington in the form of grants, including any contractors or subgrantees of the grantee, that exceed fifteen thousand dollars (\$15,000.00) for any twelve (12) month period.

- (c) Covered employer means the City of Burlington, a contractor or vendor or a grantee as defined above. The primary contractor, vendor, or grantee shall be responsible for the compliance of each of its subcontractors (or of each subgrantee) that is a covered employer.
- (d) Covered employee means an "employee" as defined below, who is employed by a "covered employer," subject to the following:
  - (1) An employee who is employed by a contractor or vendor is a "covered employee" during the period of time he or she expends on furnishing services under a service contract with the City of Burlington, notwithstanding that the employee may be a temporary or seasonal employee;
  - (2) An employee who is employed by a grantee who expends at least half of his or her time on activities funded by the City of Burlington is a "covered employee."
- (e) Designated accountability monitor shall mean a nonprofit corporation which has established and maintains valid nonprofit status under Section 501(c)(3) of the United States Internal Revenue Code of 1986, as amended, and that is independent of the parties it is monitoring.
- (f) *Employee* means a person who is employed on a full-time or part-time regular basis. In addition, commencing with the next fiscal year, a seasonal or temporary employee of the City of Burlington who works ten (10) or more hours per week and has been employed by the City of Burlington for a period of four (4) years shall be considered a covered employee commencing in the fifth year of employment. "Employee" shall not refer to volunteers working without pay or for a nominal stipend, persons working in an approved apprenticeship program, persons who are hired for a prescribed period of six (6) months or less to fulfill the requirements to obtain a professional license as an attorney, persons who are hired through youth employment programs or student workers or interns participating in established educational internship programs.
- (g) *Employer-assisted health care* means health care benefits provided by employers for employees (or employees and their dependents) at the employer's cost or at an employer contribution towards the purchase of such health care benefits, provided that the employer cost or contribution consists of at least one dollar and twenty cents (\$1.20) per hour. (Said amount shall be adjusted every two (2) years for inflation, by the chief administrative officer of the city.)
- (h) Livable wage has the meaning set forth in Section 21-82.

- (i) Retaliation shall mean the denial of any right guaranteed under this article, and any threat, discipline, discharge, demotion, suspension, reduction of hours, or any other adverse action against an employee for exercising any right guaranteed under this article. Retaliation shall also include coercion, intimidation, threat, harassment, or interference in any manner with any investigation, proceeding, or hearing under this article.
- (j) Service contract means a contract primarily for the furnishing of services to the City of Burlington (as opposed to the purchasing or leasing of goods or property). A contract involving the furnishing of financial products, insurance products, or software, even if that contract also includes some support or other services related to the provision of the products, shall not be considered a service contract.

(Ord. of 11-19-01; Ord. of 10-21-13)

## 21-82 Livable wages required.

- (a) Every covered employer shall pay each and every covered employee at least a livable wage no less than:
  - (1) For a covered employer that provides employer-assisted health care, the livable wage shall be at least fifteen dollars and thirty-five cents (\$15.35) per hour on the effective date of the amendments to this article.
  - (2) For a covered employer that does not provide employer-assisted health care, the livable wage shall be at least sixteen dollars and seventy-four cents (\$16.74) per hour on the effective date of the amendments to this article.
  - (3) Covered employees whose wage compensation consists of more or other than hourly wages, including, but not limited to, tips, commissions, flat fees or bonuses, shall be paid so that the total of all wage compensation will at least equal the livable wage as established under this article.
- (b) The amount of the livable wage established in this section shall be adjusted by the chief administrative officer of the city as of July 1 of each year based upon a report of the Joint Fiscal Office of the State of Vermont that describes the basic needs budget for a single person but utilizes a model of two (2) adults residing in a two (2) bedroom living unit in an urban area with the moderate cost food plan. Should there be no such report from the Joint Fiscal Office, the chief administrative officer shall obtain and utilize a basic needs budget that applies a similar methodology. The livable wage rates derived from utilizing a model of two (2) adults residing in a two (2) bedroom living unit in an urban area with a moderate cost food plan shall not become effective until rates meet or exceed the 2010 posted livable wage rates. Prior to May 1 preceding any such adjustment and prior to

May 1 of each calendar year thereafter, the chief administrative officer will provide public notice of this adjustment by posting a written notice in a prominent place in City Hall by sending written notice to the city council and, in the case of covered employers that have requested individual notice and provided contact information to the chief administrative officer, by notice to each such covered employer. However, once a livable wage is applied to an individual employee, no reduction in that employee's pay rate is permissible due to this annual adjustment.

(c) Covered employers shall provide at least twelve (12) compensated days off per year for full-time covered employees, and a proportionate amount for part-time covered employees, for sick leave, vacation, personal, or combined time off leave.

(Ord. of 11-19-01; Ord. of 5-2-11; Ord. of 6-13-11; Ord. of 10-21-13)

# 21-83 Applicability.

- (a) This article shall apply to any service contract or grant, as provided by this article that is awarded or entered into after the effective date of the article. After the effective date of the article, entering into any agreement or an extension, renewal or amendment of any contract or grant as defined herein shall be subject to compliance with this article.
- (b) The requirements of this article shall apply during the term of any service contract subject to the article. Covered employers who receive grants shall comply with this article during the period of time the funds awarded by the City of Burlington are being expended by the covered employer.

(Ord. of 11-19-01; Ord. of 10-21-13)

#### 21-84 Enforcement.

(a) Each service contract or grant covered by this article shall contain provisions requiring that the covered employer or grantee submit a written certification, under oath, during each year during the term of the service contract or grant, that the covered employer or grantee (including all of its subcontractors and subgrantees, if any) is in compliance with this article. The failure of a contract to contain such provisions does not excuse a covered employer from its obligations under this article. The covered employer shall agree to post a notice regarding the applicability of this section in any workplace or other location where employees or other persons contracted for employment are working. The covered employer shall agree to provide payroll records or other documentation for itself and any subcontractors or subgrantees, as deemed necessary by the chief

administrative officer of the City of Burlington, within ten (10) business days from receipt of the City of Burlington's request.

- (b) The chief administrative officer of the City of Burlington may require that a covered employer submit proof of compliance with this article at any time, including but not limited to:
  - (1) Verification of an individual employee's compensation;
  - (2) Production of payroll, health insurance enrollment records, or other relevant documentation; or
  - (3) Evidence of proper posting of notice.

If a covered employer is not able to provide that information within ten (10) business days of the request, the chief administrative officer may turn the matter over to the city attorney's office for further enforcement proceedings.

- (c) The City of Burlington shall appoint a designated accountability monitor that shall have the authority:
  - (1) To inform and educate employees of all applicable provisions of this article and other applicable laws, codes, and regulations;
  - (2) To create a telephonic and electronic accountability system under this article that shall be available at all times to receive complaints under this article;
  - (3) To establish and implement a system for processing employees' complaints under this article, including a system for investigating complaints and determining their initial credibility; and
  - (4) To refer credible complaints to the city attorney's office for potential enforcement action under this article.

The designated accountability monitor shall forward to the City of Burlington all credible complaints of violations within ten (10) days of their receipt.

(d) Any covered employee who believes his or her covered employer is not complying with this article may file a complaint in writing with the city attorney's office within one (1) year after the alleged violation. The city attorney's office shall conduct an investigation of the complaint, during which it may require from the covered employer evidence such as may be required to determine whether the covered employer has been compliant, and shall make a finding of compliance or noncompliance within a reasonable time after receiving the

complaint. Prior to ordering any penalty provided in subsection (e), (f), or (g) of this section, the city attorney's office shall give notice to the covered employer. The covered employer may request a hearing within thirty (30) days of receipt of such notice. The hearing shall be conducted by a hearing officer appointed by the city attorney's office, who shall affirm or reverse the finding or the penalty based upon evidence presented by the city attorney's office and the covered employer.

- (e) The City of Burlington shall have the right to modify, terminate and/or seek specific performance of any contract or grant with a covered employer from any court of competent jurisdiction, if the covered employer has not complied with this article.
- (f) Any covered employer who violates this article may be barred from receiving a contract or grant from the city for a period up to two (2) years from the date of the finding of violation.
- (g) A violation of this article shall be a civil offense subject to a civil penalty of from two hundred dollars (\$200.00) to five hundred dollars (\$500.00). All law enforcement officers and any other duly authorized municipal officials are authorized to issue a municipal complaint for a violation of this article. Each day any covered employee is not compensated as required by this article shall constitute a separate violation.
- (h) If a complaint is received that implicates any City of Burlington employee in a possible violation of this article, that complaint will be handled through the City's personnel procedures, not through the process outlined in this article.
- (i) Any covered employee aggrieved by a violation of this article may bring a civil action in a court of competent jurisdiction against the covered employer within two (2) years after discovery of the alleged violation. The court may award any covered employee who files suit pursuant to this section, as to the relevant period of time, the following:
  - (1) The difference between the livable wage required under this article and the amount actually paid to the covered employee;
  - (2) Equitable payment for any compensated days off that were unlawfully denied or were not properly compensated;
  - (3) Liquidated damages in an amount equal to the amount of back wages and/or compensated days off unlawfully withheld or fifty dollars (\$50.00) for each employee or person whose rights under this article were violated for each day that the violation occurred or continued, whichever is greater:

- (4) Reinstatement in employment and/or injunctive relief; and
- (5) Reasonable attorneys' fees and costs.
- (j) It shall be unlawful for an employer or any other person to interfere with, restrain, or deny the exercise of, or the attempt to exercise, any right protected under this article. No person shall engage in retaliation against an employee or threaten to do so because such employee has exercised rights or is planning to exercise rights protected under this article or has cooperated in any investigation conducted pursuant to this article.

(Ord. of 11-19-01; Ord. of 2-17-04; Ord. of 5-2-11; Ord. of 10-21-13)

#### 21-85 Other provisions.

- (a) No covered employer shall reduce the compensation, wages, fringe benefits or leave available to any covered employee in order to pay the livable wage required by this article. Any action in violation of this subsection shall be deemed a violation of this article subject to the remedies of Section 21-84.
- (b) No covered employer with a current contract, as of the effective date of this provision, with the City of Burlington for the use of property located at the Burlington International Airport may reduce, during the term of that contract, the wages of a covered employee below the livable wage as a result of amendments to this article.
- (c) Where pursuant to a contract for services with the city, the contractor or subcontractor incurs a contractual obligation to pay its employees certain wage rates, in no case except as stated in subsection (d) of this section, shall the wage rates paid pursuant to that contract be less than the minimum livable wage paid pursuant to this article.
- (d) Notwithstanding subsection (c) of this section, where employees are represented by a bargaining unit or labor union pursuant to rights conferred by state or federal law and a collective bargaining labor agreement is in effect governing the terms and conditions of employment of those employees, this chapter shall not apply to those employees, and the collective bargaining labor agreement shall control.
- (e) Covered employers shall inform employees making less than twelve dollars (\$12.00) per hour of their possible right to the Earned Income Tax Credit under federal and state law.
- (f) The chief administrative officer of the city shall have the authority to promulgate rules as necessary to administer the provisions of this article, which shall become effective upon approval by the city council.

(Ord. of 11-19-01; Ord. of 10-21-13)

21-86 Exemptions.

An exemption from any requirement of this article may be requested for a period not to exceed two (2) years:

(a) By a covered employer where payment of the livable wage would cause substantial economic hardship;

and

(b) By the City of Burlington where application of this article to a particular contract or grant is found to violate

specific state or federal statutory, regulatory or constitutional provisions or where granting the exemption would

be in the best interests of the City.

A covered employer or grantee granted an exemption under this section may reapply for an exemption upon

the expiration of the exemption. Requests for exemption may be granted by majority vote of the city council. All

requests for exemption shall be submitted to the chief administrative officer. The finance committee of the City

of Burlington shall first consider such request and make a recommendation to the city council. The decision of

the city council shall be final.

(Ord. of 11-19-01; Ord. of 10-21-13)

21-87 Severability.

If any part or parts or application of any part of this article is held invalid, such holding shall not affect the

validity of the remaining parts of this article.

(Ord. of 11-19-01; Ord. of 10-21-13)

21-88 Annual reporting.

On or before April 15 of each year, the city attorney's office shall submit a report to the city council that

provides the following information:

(a) A list of all covered employers broken down by department;

(b) A list of all covered employers whose service contract did not contain the language required by this article;

and

(c) All complaints filed and investigated by the city attorney's office and the results of such investigation.

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# 21-89 Effective date.

The amendments to this article shall take effect on January 1, 2014, and shall not be retroactively applied.

(Ord. of 10-21-13)

#### **EXHIBIT D**

#### ARTICLE VII. OUTSOURCING

#### 21-90 Policy.

It is the policy of the City of Burlington to let service contracts to contractors, subcontractors and vendors who perform work in the United States.

(Ord. of 11-21-05/12-21-05)

#### 21-91 Definitions.

- (a) Contractor or vendor. A person or entity that has a contract with the City of Burlington primarily for the furnishing of services (as opposed to the purchasing of goods), including any subcontractors of such contractor or vendor.
- (b) Government funded project. Any contract for services which involves any city funds and the total amount of the contract is fifty thousand dollars (\$50,000.00) or more. Burlington School Department contracts shall not be considered government funded projects under this article.
- (c) Outsourcing. The assigning or reassigning, directly, or indirectly through subcontracting, of services under a government funded project to workers performing the work outside of the United States.

(Ord. of 11-21-05/12-21-05)

#### 21-92 Implementation.

- (a) No contract for a government funded project shall be let to any contractor, subcontractor, or vendor who is outsourcing, or causing the work to be performed outside of the United States or Canada.
- (b) Prior to the commencement of work on a government funded project a contractor, subcontractor or vendor shall provide written certification that the services provided under the contract will be performed in the United States or Canada.

(Ord. of 11-21-05/12-21-05)

#### 21-93 Exemption.

An exemption from requirements of this article may be authorized by the chief administrative officer based upon a determination that the services to be performed for the government funded project are not available in the United States or Canada at a reasonable cost. Any such exemption decision by the chief administrative officer

shall be reported to the board of finance in writing within five (5) days. The board of finance may, if it should vote to do so, override the exemption decision if such vote occurs within fourteen (14) days of the date of the chief administrative officer's communication to such board.

(Ord. of 11-21-05/12-21-05)

#### 21-94 Enforcement.

- (a) Any contractor, subcontractor or vendor who files false or materially misleading information in connection with an application, certification or request for information pursuant to the provisions of this article or outsources work on a government funded project shall be deemed to be in violation of this article.
- (b) A violation of this article shall be a civil offense subject to a civil penalty of from one hundred dollars (\$100.00) to five hundred (\$500.00). All law enforcement officers and any other duly authorized municipal officials are authorized to issue a municipal complaint for a violation of this article. Each day any violation of any provision of this article shall continue shall constitute a separate violation.
- (c) The City of Burlington shall have the right to modify, terminate and or seek specific performance of any contract for a government funded project if the contractor, subcontractor or vendor has not complied with this article.

(Ord. of 11-21-05/12-21-05)

21-95-21-99 Reserved.

#### **EXHIBIT E**

#### ARTICLE VIII. UNION DETERRENCE

#### 21-100 Policy.

It is the policy of the City of Burlington to limit letting contracts to organizations that provide union deterrence services to other companies.

(Ord. of 3-27-06/4-26-06)

#### 21-101 Definitions.

- (a) Contractor or vendor. A person or entity that has a contract with the City of Burlington primarily for the furnishing of services (as opposed to the purchasing of goods), including any subcontractors of such contractor or vendor.
- (b) Government funded project. Any contract for services which involves any City funds and the total amount of the contract is fifteen thousand dollars (\$15,000.00) or more. Burlington School Department contracts shall not be considered government funded projects under this article.
- (c) Union deterrence services. Services provided by a contractor, subcontractor or vendor that are not restricted to advice concerning what activities by an employer are prohibited and permitted by applicable laws and regulations, but extend beyond such legal advice to encouraging an employer to do any of the following:
  - 1) Hold captive audience, (i.e., mandatory) meetings with employees encouraging employees to vote against the union;
  - 2) Have supervisors force workers to meet individually with them to discuss the union;
  - 3) Imply to employees, whether through written or oral communication, that their employer may have to shut down or lay people off if the union wins the election;
  - 4) Discipline or fire workers for union activity;
  - 5) Train managers on how to dissuade employees from supporting the union.
- (d) Substantial portion of income. For the purposes of this article, substantial portion of income shall mean greater than ten (10) percent of annual gross revenues or one hundred thousand dollars (\$100,000.00), whichever is less.

# 21-102 Implementation.

- (a) No contract for a government funded project shall be let to any contractor, subcontractor, or vendor who
  - 1) Advises or has advised an employer to conduct any illegal activity in its dealings with a union.
  - 2) Advertises union deterrence services as specialty services;
  - 3) Earns a substantial portion of its income by providing union deterrence services to other companies in order to defeat union organizing efforts.
- (b) Prior to the commencement of work on a government funded project a contractor, subcontractor or vendor shall provide written certification that it has not advised the conduct of any illegal activity, it does not currently, nor will it over the life of the contract provide union deterrence services in violation of this article.

(Ord. of 3-27-06/4-26-06)

#### 21-103 Enforcement.

- (a) Any contractor, subcontractor or vendor who files false or materially misleading information in connection with an application, certification or request for information pursuant to the provisions of this article or provided union deterrence services during the life of a contract for a government funded project shall be deemed to be in violation of this article.
- (b) The City of Burlington shall have the right to modify, terminate and or seek specific performance of any contract for a government funded project if the contractor, subcontractor or vendor has not complied with this article.

(Ord. of 3-27-06/4-26-06)

#### 21-104—21-110 Reserved.